



**SVKM's**  
**PRAVIN GANDHI COLLEGE OF LAW**  
**IN ASSOCIATION WITH**  
**BOMBAY STOCK EXCHANGE INSTITUTE LIMITED**  
**OFFERS**  
**CERTIFICATE COURSE IN SECURITIES LAW**

**ABOUT THE COURSE**

Compliance of law is an integral part of securities market. Laws pertaining to securities market needs to be strongly adhered to in the interest of the investors. Application of securities Law is not only the purview of legal department but at various other levels. Hence, knowledge about provision of related legislations, their compliances, due diligence, documentation, and contracts pertaining to securities market is pivotal for every person interested in the securities market or corporate sector. The course endeavours to provide conceptual clarity about the securities market, hone analytical skills of related laws and developing problem solving skills by focusing on application of laws in various scenarios. The programme is the blend of theoretical and applied knowledge. It's a three month week end 100 hours course conducted jointly by PGCL (Pravin Gandhi College of law) and BSEIL (Bombay Stock Exchange Institute Limited)

**KEY FEATURES**

- Emphasis on conceptual clarity
- Focus on practical application of related legislations
- Discussion on contemporary case studies
- Experienced faculty from the industry
- Joint certification by PGCL and BSEIL
- Week end programme, classes on Friday & Saturday evenings and Sunday mornings
- Total duration of the course – 100 hours spread weekends in three months.
- Lectures will be conducted at PGCL campus, 8<sup>th</sup> Floor, Mithibai College building, Vile Parle West

## **TARGET AUDIENCE**

The focus of the course encompasses conceptual clarity and practical application of the laws. The course would be beneficial to:

- Lawyers
- Law Students interested in pursuing Corporate Laws
- Students interested in capital markets
- Company Secretaries & Chartered Accountants
- Working professional in the related field
- Any other person interested in securities market

## **ELIGIBILITY**

Applicant must have qualified class XII examination (any stream).

## **ADMISSION PROCEDURE**

- Download the application form from [www.pgcl.ac.in](http://www.pgcl.ac.in) or collect it from the college office
- Submit the duly completed form to the college office
- Check the list of eligible candidates for Personal Interview on the college website or college notice board
- List of selected candidates after personal interview will be uploaded on the website
- Selected candidates to pay course fee within stipulated time

## **COURSE OUTLINE**

- Companies Act, 2013  
(Complete Act with Rules and Regulations)
- Securities Control( Regulation) Act 1956
- Securities and Exchange Board of India Act 1992
- SEBI ( Issue of Capital and Disclosure Requirements Regulations)
- SEBI ( Substantial Acquisition of Shares and Takeover Regulations)
- SEBI ( Prohibition of Insider Regulations)
- Foreign Exchange Management Act, 1999  
(Provisions/ Regulations relating to :

- a) Foreign Direct Investment and Portfolio Investments
- b) Imports and Exports
- c) Overseas Investment by Indian Entities
- Prevention of Money Laundering Act, 2002
- Income Tax Act – Related Provisions
- Fundamentals of Financial Statement
- Case studies

## **EXAMINATION**

Examination for certification will be conducted in two phases. Phase I examination will be conducted approximately after completion of 50 hours and Phase II at the end of the programme. Each phase would comprise of group project and Individual Case study presentation & written examination.

### **Phase I – 200 marks**

Group Project/Presentation – 50 marks

Individual Case Study Presentation/Research paper – 50 marks

Written Examination - 100 marks

### **Phase II – 200 marks**

Group Project/ Presentation – 50 marks

Individual Case Study Presentation/Research Paper – 50 marks

Written Examination - 100 marks

Evaluation & Examination would be conducted by BSE Institute Limited at Pravin Gandhi College of Law.

A candidate shall be declared as to have passed the examination only if he or she secures minimum 50 % marks in the aggregate of the examinations conducted in each of the Phase.

## **FEES**

The total fees for the certificate course in Securities Law is Rs. 25,000/- plus service tax. The fees is payable by D.D (demand draft) drawn in favour of Pravin Gandhi College of Law.

## **GENERAL RULES**

- Minimum 75% attendance required to qualify for the certificate
- Fees once paid is non refundable
- A student who fails to qualify the exam with minimum marks, can take exam only once in the subsequent batches of the same course if any, conducted at Pravin the Gandhi College of Law. In case of no new batch is conducted, no re-examination will be held for the students who fail to secure the minimum marks required.
- All communication for the course is through email.

## **SCHEDULE OF ADMISSION**

<b>Issue of Registration Form</b>	<b>1<sup>st</sup> December, 2016</b>
<b>Last date for Submission of Registration Form</b>	<b>17<sup>th</sup> December, 2016</b>
<b>Personal Interview</b>	<b>19<sup>th</sup> December, 2016</b>
<b>Display of List of Selected Candidates</b>	<b>23<sup>rd</sup> December, 2016</b>
<b>Payment of Fees</b>	<b>26<sup>th</sup> December, 2016 to 2<sup>nd</sup> January, 2016</b>
<b>Commencement of the Course</b>	<b>8<sup>th</sup> January, 2016</b>

## **FOR ANY QUERIES PLEASE CONTACT**

**Ms. Suman Kalani - 9867011447**

**Mr. Santosh Rane - 9870168874**

**Email: [pgclsecuritieslaw@gmail.com](mailto:pgclsecuritieslaw@gmail.com)**

## **ADDRESS FOR CORRESPONDENCE**

Course Co-ordinator  
Certificate Course in Securities Law,  
Pravin Gandhi College of Law,  
8<sup>th</sup> Floor, Mithibai College Campus,  
Bhakti Vedanta Marg,  
Vile Parle West, Mumbai – 400056.  
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